CD Rom

OCT 1 8 2001 ase type a plus sign (+) inside this box --> PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE aperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/850,149 **TRANSMITTAL Filing Date** May 8, 2001 **FORM** First Named Inventor Clifton A. Rau (to be used for all correspondence after initial filing) **Group Art Unit** Not Assigned **Examiner Name** Not Assigned Total Number of Pages in This Submission Attorney Docket Number CARAU001 **ENCLOSURES** (check all that apply) After Allowance Communication **Assignment Papers** Fee Transmittal Form (for an Application) to Group Appeal Communication to Board Fee Attached Drawing(s) of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer **Express Abandonment Request** Request for Refund Information Disclosure Statement CD, Number of CD(s) Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Steven M. duBois Reg. No. 35,023 Individual name Signature Date October 18, 2001 **CERTIFICATE OF MAILING**

CERTIFICATE OF MAILING

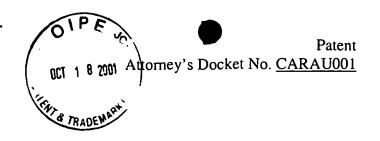
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Clifton A. Rau)	Serial No. 09/850,149
Title: MODEL RAILROAD CONTROL AND DISPLAY SYSTEM)	Filing Date: May 8, 2001
)	

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

Sir:

In complete response to the Notice to File Corrected Application Papers dated October 3, 2001 (copy enclosed), Applicant provides the following:

- 1. Enclosed herewith are a new set of compact discs pursuant to 37 C.F.R. § 1.52(e).
- 2. The compact discs are labeled as replacement discs pursuant to 37 C.F.R. §1.52(4) and (6).
- 3. Applicant hereby certifies pursuant to 37 C.F.R. §1.52(e) that each disc of the duplicate set submitted herewith is identical to the other disc in the set.
- 4. The requisite statement pursuant to 37 C.F.R. §1.52(e)(3) listing the required information regarding the files which are electronically stored on the identical compact discs is submitted herewith on a separate sheet.
- 5. A Preliminary Amendment is also submitted herewith which amends the specification to identify each disc and the files contained therein including the file name, file size and file creation date.
- 6. No new matter is included in the enclosed replacement compact disc set.

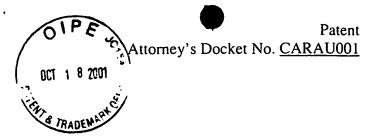
It is believed that the foregoing in conjunction, with the enclosures, satisfies the requirements of the Notice to File Corrected Application Papers and that no fees are due in conjunction with this response. If, however, additional information is needed, the Office is urged to contact the undersigned at (571)-277-2326.

Respectfully submitted,

By:

Steven M. duBois Reg. No. 35,023

Date: October 18, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Clifton A. Rau)) Serial No. 09/850,149	
Title: MODEL RAILROAD CONTROL AND DISPLAY SYSTEM)	Filing Date: May 8, 2001	
)		

STATEMENT PURSUANT TO 37 C.F.R.§ 1.52(e)(3)

Sir:

Each of the replacement compact discs submitted herewith have the following information stored thereon.

Machine Format:

IBM-PC

Operating System Compatability:

MS-Windows

List of Files: EZHEADER Size: 2KB Created: 10/7/01 EZRESWK Size: 1KB Created: 10/7/01 EZSWITCH Size: 36KB Created: 10/8/01

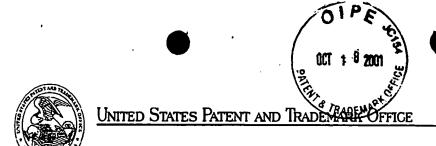
EZXWMNU Size: 2KB Created: 10/7/01

These ASCII text files can be viewed using Windows Explorer.

Respectfully submitted,

Steven M. duBois Reg. No. 35,023

Date: October 18, 2001



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/850,149

CLIFTON A. RAU

421 HAWK RIDGE LANE

SYKESVILLE, MD 21784

05/08/2001

Clifton A. Rau

CARAU001

CONFIRMATION NO. 3016

FORMALITIES LETTER

OC00000006840063

Date Mailed: 10/03/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that they contain non-ASCII files. A new duplicate set of compact discs with only ASCII files is required. Any replacement compact disc submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set. If a directory of the disc could be printed, it is attached and non-ASCII files are marked on the directory listing.

The compact disc(s) submitted fail to comply with 37 CFR 1.52(e) in that only a single copy of each disc was provided. A duplicate copy of each compact disc must be provided on Compact Disc-Read Only Memory (CD-ROM) or a Compact Disc-Recordable (CD-R) media. Any replacement compact discs submitted should be accompanied by a certification as required by 37 CFR 1.52(e) that each disc of a duplicate set is identical to the other disc of the set.

This application is objected to because it contains a data file on CD-ROM/CD-R, however, the transmittal letter does not list for each compact disc, the machine format, the operating system compatibility, a list of files contained on the compact disc including their names, sizes in bytes, and dates of creation, plus any other special information that is necessary to identify, maintain, and interpret the information on the compact disc as required by 37 CFR 1.52(e)(3). A statement listing the required information is required. Additionally, the disc(s) is not identified in the paper portion of the specification with a listing of all of the files contained on the disc. When portions of

an application are contained on a compact disc, the paper portion of the specification must identify the compact disc(s) and list the files including name, file size, and creation date on each of the compact discs. See 37 CFR 1.52(e). Applicant(s) are required to amend the specification to identify each disc and the files contained on the disc including the file name, file size, and file creation date.

A copy of this notice MUST be returned with the reply.

Customer Service Center

In tial Patent Examination Division (703) 308-1202